

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Michael P. Caren and Carol T. Schembri

Serial No.:

Examiner:

Filing Date:

Group Art Unit:

Title: METHODS AND APPARATUS FOR SAMPLE MIXING

COMMISSIONER FOR PATENTS  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☐ a \$180.00 Processing fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:  
☐ Statement under 37 CFR 1.97(e), and  
☐ a \$180.00 processing fee under 37 CFR 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account **50-1078** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **50-1078** pursuant to 37 CFR 1.25.

☐ Applicant(s) submit herewith Form PTO 1449. References identified with an asterisk (\*) were disclosed in Patent Application No. \_\_\_\_\_ filed \_\_\_\_\_, now U. S. Patent No. \_\_\_\_\_, and, as such, copies thereof are not included pursuant to the provisions of 37 CFR 1.98(d).

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

"Express Mail" label no. **ER155860276US**

Date of Deposit **Oct. 15, 2003**

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

By

*Marianne Leitreg*

Typed Name: **Marianne Leitreg**

Respectfully submitted,

**Michael P. Caren and Carol T.**

By

*Theodore J. Leitreg*

**Theodore J. Leitreg**

Attorney/Agent for Applicant(s)  
Reg. No. **28,319**

Date: **Oct. 15, 2003**

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<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	ATTY. DOCKET NO.	SERIAL NO.
	10021299-1	
	APPLICANT <b>Michael P. Caren and Car I T. Sch mb</b>	
	FILING DATE	GROUP

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	*	DOCUMENT NUMBER	DATE	NAME
		5,804,384	Sep. 8, 1998	Muller et al
		6,458,599	Oct. 1, 2002	Huang
		0,045,274	Apr. 18, 2002	Huang
		0,045,275	Apr. 18, 2002	Huang
		0,048,760	Apr. 25, 2002	Drmanac et al
		0,048,765	Apr. 25, 2002	Shao et al
		0,110,901	Aug. 15, 2002	Huang
		0,123,043	Sep. 5, 2002	Hutchens et al
		0,137,059	Sep. 26, 2002	Wu et al
		0,142,343	Oct. 3, 2002	Hutchens et al

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	TRANSLATION	
					YES	NO
	A	WO 99/42605	Aug.26, 1999	Schwabacher		X

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	B	Tecan HS Series Hybridization Station; <a href="http://www.tecan.com/com-pdf-hybridization_station_poster.pdf">http://www.tecan.com/com-pdf-hybridization_station_poster.pdf</a>
	C	GeneChip Fluidics Station 450; <a href="http://www.affymetrix.com/products/instruments/specific/fs450.affx">http://www.affymetrix.com/products/instruments/specific/fs450.affx</a>
	D	GeneTAC HybStation; <a href="http://www.genomicsolutions.com/genomics/hybrid.html">http://www.genomicsolutions.com/genomics/hybrid.html</a>

EXAMINER	DATE CONSIDERED
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\* Copies of these references are not enclosed pursuant to 37 CFR 1.98(d). (See accompanying IDS)

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SERIAL NO.

APPLICANT

Michael P. Caren and Carol T. Schemb

FILING DATE

GROUP

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	*	DOCUMENT NUMBER	DATE	NAME
		0,164,643	Nov. 7, 2002	Huang
		0,177,242	Nov. 28, 2002	Hutchens et al
		0,182,627	Dec. 5, 2002	Wang et al
		0,187,509	Dec. 12, 2002	Shao et al
		0,197,614	Dec. 26, 2002	Weit et al

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	TRANSLATION	
					YES	NO

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)


EXAMINER

DATE CONSIDERED

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